



Atty. Dkt. No. 065678/0108

OFFICE OF PETITIONS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Naoshi Fukushima, et al.

Title:

**AGONIST ANTIBODIES** 

Prior Appl.

PCT/JP01/03288

No.:

Prior Filing A

April 17, 2001

Date:

Examiner: Not Yet Assigned

Art Unit:

Not Yet Assigned

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants submit herewith on Form PTO/SB/08 a listing of the documents cited during the prosecution of the corresponding International application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

## RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding International application. Copies of the documents are not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and the JPO. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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Substitute for form 1449B/PTO				Complete if Known			
	INFORMAT	ION DISCLO	SURE	Application Number	Not Yet Assigned		
	STATEMEN	IT BY APPL	ICANT	Filing Date	January 24, 2003		
Date Submitted: April 2, 2003				First Nam d Inventor	Naoshi Fukushima, et al.		
	Date Subin	itted. April 2,	, 2003	Group Art Unit	Not Yet Assigned		
. 0	(use as many	sheets as ne	ecessary)	Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	065678-0108		

U.S. PATENT DOCUMENTS							
_	Cite No. <sup>1</sup>	U.S. Patent Document			Date of Publication of	Pages, Columns, Lines, Where Relevant	
Examiner Initials*		Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
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	А3	wo	99/12973	A1	CHUGAI SEIYAKU KABUSHIKI KAISHA	03/18/1999			

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A4	MATEO ET AL., "CD 47 ligation induces caspase-independent cell death in chronic lymphocytic leukemia," <i>Nature Medicine</i> (November 1999), vol. 5, No. 11, pp. 1277-1284.	
	A5	PETTERSEN, ET AL., "CD47 signals T cell death," J. Immunol. (15 June 1999), Vol. 162, No. 12, pp. 7031-7040.	
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	A7	BAZZONI ET AL., "Chimeric tumor necrosis factor receprors with constitutive signaling activity," <i>Proc. Natl. Acad. Sci. USA</i> (6 June 1995), Vol. 92, No. 12, pp. 5376-5580.	
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	A9	O'BRIEN ET AL., "Monoclonal antibodies for the human insulin receptor stimulate intrinsic receptor-kinase activity," <i>Biochim. Soc. Trans.</i> (1986), Vol. 14, No. 6, pp. 1021-1023.	
	A10	YARDEN ET AL., "Self-phosphorylation of epidermal growth factor receptor: evidence for a model of intermolecular allosteric activisation," <i>Biochemistry</i> (1987), Vol. 26, No. 5, pp. 1434-1442.	
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Examiner Signature	Date Considered	OFFICE OF PETITIONS

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.